



Compliance, Safety, Accountability (CSA) and Drivers

Spring 2017

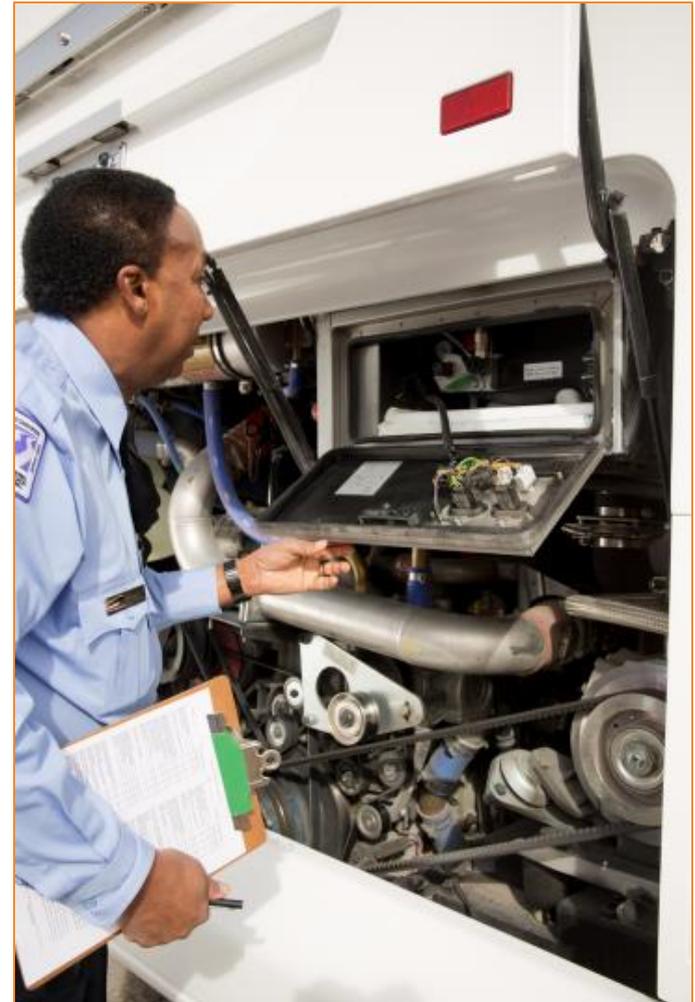


Agenda

- Who Is FMCSA?
- What Is CSA?
- CSA and Your Company
- CSA and Your Safety Record

Who Is FMCSA?

The Federal Motor Carrier Safety Administration (FMCSA) is a transportation agency with over 1,000 Federal employees and 12,000 State Partners across the Nation committed to our safety mission—preventing crashes, injuries, and fatalities related to large trucks and buses on our highways.



Industry Scope

- Truck and Bus Companies with Recent Activity*:
 - Approximately: **540,000**
- Commercial Motor Vehicle (CMV) Drivers Operating in the U.S.*:
 - Approximately: **5,600,000**



*In 2013, per FMCSA's *2014 Pocket Guide to Large Truck and Bus Statistics*:

<http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/FMCSA%20Pocket%20Guide%20to%20Large%20Truck%20and%20Bus%20Statistics%20-%20October%202014%20Update%20%282%29.pdf>

What Is CSA?

- FMCSA's data-driven safety compliance and enforcement program
- Designed to improve safety and prevent CMV crashes, fatalities, and injuries
- Helps carriers and drivers improve safety compliance, so everyone gets home safely



CSA and Your Company



Raising Your Company's Safety Standards

CSA's Three Elements

1) The Safety Measurement System (SMS)

- Improves how FMCSA prioritizes carriers for interventions
- Includes more safety data; all safety-based violations found during roadside inspections
- Organizes safety behaviors into seven Behavior Analysis and Safety Improvement Categories (BASICs)

2) Interventions Process

- Creates new, more efficient ways for FMCSA to interact with carriers and help bring them into compliance (e.g., warning letters and Onsite Focused Investigations)

3) Safety Fitness Determination (SFD)

- Current safety rating process requires an Onsite Investigation

The Safety Measurement System (SMS)

- Helps FMCSA prioritize carriers for interventions
- SMS results are updated once per month based on the prior 24 months
 - Violation and crash information are weighted based on their severity and when these events occurred
- It is important for you to understand how your safety performance affects your carrier's SMS results
 - Safety violations adversely affect your company's SMS results for two years
 - All safety-based roadside inspection violations count, not just out-of-service (OOS) violations
- Violations recorded on a roadside inspection report are used in the SMS regardless of whether a State officer also issued a citation (i.e., ticket) or a verbal warning
- **Note:** The SMS is not a safety rating and does not alter a carrier's safety rating

The SMS (cont.)

Learn the BASICS

- The SMS organizes carrier roadside inspection, crash, and investigation information into seven BASICS
- Your safety performance affects your company's SMS results—and your Pre-Employment Screening Program (PSP) record
- The BASICS are a good way to remember many of the safety rules you must follow



View carrier SMS results on FMCSA's public website: <http://ai.fmcsa.dot.gov/sms>

Sample Carrier SMS Results

COMPLETE SMS PROFILE

CONTACT US

DOWNLOADS

PRINT

ABC TRUCKING

U.S. DOT#: 0000000
 Address: 123 ANYWHERE STREET
 ANY CITY, XX 000000
 Number of Vehicles: 4
 Number of Drivers: 3
 Number of Inspections: 15

Safety Rating & OOS Rates

(As of 01/29/2015 updated daily from [SAFER](#))

Not Rated

Out of Service Rates

Type	OOS %	National Avg %
Vehicle	69.2	20.7
Driver	0.0	5.5
Hazmat		4.5

Licensing and Insurance

(As of 01/29/2015 updated hourly from [L&I](#))

Type	Active For-Hire Authority	
	Yes/No	MC#/MX#
Property	No	
Passenger	No	
Household Goods	No	
Broker	No	

U.S. DOT# 0000000 has no current for-hire operating authority with FMCSA.

[How does SMS relate to crashes?](#)

BASIC Status (Public View)

Behavior Analysis & Safety Improvement Categories (BASICS) Based on a 24-month record ending December 19, 2014

UNSAFE DRIVING

Not Public
CRASH INDICATOR

HOURS-OF-SERVICE COMPLIANCE

VEHICLE MAINTENANCE

CONTROLLED SUBSTANCES AND ALCOHOL

Not Public
HAZARDOUS MATERIALS COMPLIANCE

DRIVER FITNESS

Click to select a BASIC icon above to get details, or view your [Complete SMS Profile](#).

Denotes this carrier exceeds the FMCSA intervention threshold relative to its safety event grouping based upon roadside data and/or has been cited with one or more serious violations within the past 12 months during an investigation. Therefore, this carrier may be prioritized for an intervention action and roadside inspection.

Sample Carrier SMS Results (cont.)

BASIC Status (Public View)

Behavior Analysis & Safety Improvement Categories (BASiCs)

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Based on a 24-month record ending December 19, 2014

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BASIC: Vehicle Maintenance

ON-ROAD + INVESTIGATION

On-Road Performance

Measure: 17.28 ?

Percentile: 98%

98%

80% Intervention Threshold

Scale 0 to 100; 0 indicates the best safety performance.

98% of motor carriers in the same safety event group have better on-road performance than this motor carrier.

WHO IS IN THE SAFETY EVENT GROUP?

Investigation Results

No Serious Violations Discovered

CARRIER MEASURE OVER TIME

This graph displays a carrier's measure based on 24 months of on-road performance. Zero indicates the best performance. To see how the measure is calculated [click here](#). To see how the measure relates to percentile [click here](#). [Expand](#) for more information.

Date	Measure
JUL 25 2014	16.9
AUG 22	16.3
SEP 26	16.3
OCT 24	17.6
NOV 21	16.8
DEC 19	17.3

CARRIER MEASURE OVER TIME
MEASURE VS. PERCENTILE
INSPECTION RESULTS

CSA and Your Safety Record



Why Your Safety Record Matters

- Your safety performance keeps everyone safe on the road—including you
- Your performance is part of your company’s record, so your safety compliance means a lot
- It’s up to you to ensure your safety record is accurate
- You have two different records:
 1. State Motor Vehicle Record (MVR)
 2. Federal PSP record
- **Note:** The Federal and State governments do not calculate or issue a driver “score.” There is no universal evaluation method for drivers*



*FMCSA’s Driver SMS (DSMS) does not generate or issue driver safety ratings or “scores.” It does not affect a driver’s commercial driver’s license, or a carrier’s safety rating. DSMS results are not available to motor carriers, drivers, third-party providers, or the public. DSMS results are an investigative tool only available to enforcement officials for examining commercial motor vehicle driver performance as part of CSA investigations. DSMS results are not a measure of a driver’s overall safety condition.

A Closer Look at Your Safety Information

1. State MVR

- Same system as before, not impacted by CSA
- Includes State conviction information
- States (**not the Federal government**) may suspend a Commercial Driver's License (CDL) based on conviction information reflected on your MVR
- Employers are required by Federal regulations to check your MVR annually (49 CFR 391.25)
- To obtain this record, contact the State Driver Licensing Agency (SDLA) that issued your CDL

A Closer Look at Your Safety Information

2. Federal PSP Record (mandated by Congress)

- Pre-employment screening tool that allows carriers and drivers to purchase a Federal inspection and crash history record, which includes:
 - Five years of reportable crashes
 - Three years of inspections—violations recorded on inspections and clean inspections
- **Note:** The Federal and State governments do not issue a “score,” rating, or assessment associated with a driver’s PSP record
- Your PSP record does not directly impact your CDL
- A carrier may only access your PSP record with your consent
- Obtain your record for a \$10 fee at <http://www.psp.fmcsa.dot.gov> or for free via a Freedom of Information Act (FOIA) request

Ensure Accuracy of Your Safety Information

1. State Information (citation/tickets) → State Court

- If you are aware of an error, contest citation information in State court; otherwise information goes on your MVR, possibly impacting your CDL

2. Federal Information (recorded violations) → DataQs

- Request a review of Federal information on your PSP record via DataQs
- Visit FMCSA's DataQs Website: <https://dataqs.fmcsa.dot.gov/>
- File a Request for Data Review (RDR) on an inspection and/or reportable crash
- Provide supporting documentation when filing an RDR
- RDRs are reviewed by the State where the inspection/crash occurred

If you receive a Federal violation and a State citation/ticket for the same infraction:

- Handle them separately using the methods listed above



Adjudicated Citations Policy

- The updated Adjudicated Citations policy went into effect in August 2014
- Improves the quality and uniformity of violation information in FMCSA systems (e.g., MCMIS)
- Allows FMCSA to upload the results of State citations associated with violations that the court has adjudicated
- Process highlights:
 1. Carriers and drivers can submit RDRs along with court documentation via DataQs
 2. The State will review and document the citation results for the associated violations, and those results will impact PSP and SMS as listed below

Result of Adjudicated Citation Associated with a Violation	Record in PSP and SMS
Dismissed without a fine or not guilty	Violation removed
Conviction of a different charge	Violation indicated as conviction of a different charge; SMS severity weight reduced to 1
Conviction or dismissed with a fine	Violation retained



Non-Government—Driver Safety Information

Third Parties and Carriers Evaluating Drivers

- Carriers and independent third parties combine PSP and other driver information, and call it a “CSA score” or “CSA driver scorecard.” The Federal government does not endorse third-party driver “scores”.
- **Note:** The Federal government does not calculate or issue a driver “score.” There is no universal evaluation method for drivers
- *Ask your carrier how you are being evaluated*

Get Road Smart

You drive safety home.

CSA holds drivers accountable for safe on-road performance. Learn how to strengthen your safety record while making America's roads as safe as they can be for everyone. Visit our website to learn how to:

- Order driver records (PSP)
- Request a review of your data (DataQs)
- See motor carrier data (SMS)

Five million truck and bus drivers share America's roads and highways with more than 250 million motorists. With stakes so high, it's essential that everyone Get Road Smart.

To Get Road Smart, visit us today: <http://csa.fmcsa.dot.gov/?driver>



Get Road Smart (cont.)

Stay connected.

- Visit the Driver Safety Education Center at:
<http://csa.fmcsa.dot.gov/?driversafety>
- Sign up for important updates via the CSA Website at:
<http://csa.fmcsa.dot.gov/subscription.aspx>
- Contact FMCSA if you have questions or feedback at:
http://csa.fmcsa.dot.gov/CSA_Feedback.aspx or by calling 877-254-5365
- Download Get Road Smart brochures, pocket cards, posters, and factsheets at:
<http://csa.fmcsa.dot.gov/?toolkit1>, or order by calling the InfoLine at 877-254-5365
- Find the phone number for your local FMCSA office:
<http://www.fmcsa.dot.gov/about/contact/offices/displayfieldroster.aspx>

